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Substitu	ite for form 1449A/PTO		Application Number	10/656,722		
_	NFORMATION I	MECT OSTIDE	Filing Date	September 5, 2003		
			First Named Inventor	Lilip Lau		
2	STATEMENT BY	APPLICANT	Art Unit	3736 .		
	(use as many sheets	as necessary)	Examiner Name	Unassigned		
Sheet	1 0		Attorney Docket Number	PARCR 66117		

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Signature	100/11/ 220/11	Considered	10/29/2007

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Complete if Known stitute for form 1449B/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT 3736 Group Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 66117 Sheet of | 2 Attorney Docket Number

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number 10/656,722

Filing Date September 5, 2003

First Named Inventor Lilip Lau

Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number PARCR 66117

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ST	ATE	MENT 1	BY APPLIC	ANT		ventor			
					Art Unit		Unassigned		
	(us	e as many sh	eets as necessary)		Examiner Nam	e	Unassigned		
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Signature	Considered	

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Substitute for form 1449A/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	Unassigned	
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Sheet 3 of 14	Attomey Docket Number	PARCR 66117	

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STATEMENT BY APPLICANT	Art Unit	Unassigned		
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Sheet 5 of 14	Attorney Docket Number	PARCR 66117		

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Examiner Initials*	Cite No ¹	Number Documen	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	No	Office ³	Number ⁴	Kind ⁵ (if known)		Document Document	or Relevant Figures Appear	'
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		PCT	WO 00/13722 V		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700 /		03/30/00	Myocor, Inc.		
		PCT	WO 00/18320 🗸		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912 /		05/25/00	Acorn Cardiovascular		1
		PCT	WO 00/28918		05/25/00	Acom Cardiovascular		Ī
		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735 🗸		08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795 🗸		08/24/00	Richebourg		

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Signature	Considered	

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	C	omplete if Known
Substitute for form 1449A/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 6 of 14	Attorney Docket Number	PARCR 66117

				PATENT DOCUMEN		
Examiner Initials*	Cite No ¹	Docume Number	tht Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	-	6,155,972	•	12/05/00	Nauertz et al.	
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Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	76			
	No	Office ³	Number ⁴	Kind ³ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Γ,			
		PCT	WO 00/62727		10/26/00	Hearten Medical					
		PCT	WO 00/74769	-	12/14/00	Johns Hopkins Univ.					
		PCT	WO 01/17437 /		03/15/01	Cardiothoracic Systems					
		PCT	WO 01/21098 🖍		03/29/01	Acom Cardiovascular					
		PCT	WO 01/50981		07/19/01	Acom Cardiovascular					
		PCT	WO 01/67985. V		09/20/01	Paracor Surgical					
		PCT	WO 01/85061' · 4		11/15/01	Acom Cardiovascular					
		PCT	WO 01/91667 🗸		12/06/01	Cardioclasp, Inc.		T			
		PCT	WO 01/95830 🗸		12/20/01	Acom Cardiovascular		T			

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	Complete if Known		
Substitute for form 1449A/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 7 of 14	Attorney Docket Number	PARCR 66117	

			U.S.	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No!	Docume Number	ent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.
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Examiner	Cite		Foreign Patent Docume	ent	Publication Date	Name of Patentee or	Pages, Columns, Lines	76
Initials*	No	Office)	Number ⁴	Kind ³ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	<u> </u>
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		PCT	WO 01/95832		12/20/01	Acom Cardiovascular		
		PCT	WO 02/13726 V		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917 🗸		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485 /		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

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Signature	Considered	

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Substitute for form 1449A/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	Unasigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 8 of 14	Attorney Docket Number	PARCR 66117

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Examiner Initials*	Cite No ¹	Number Docum	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Signature	'	Considered	

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unasigned Art Unit Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 Sheet 9 of 14 Attorney Docket Number

		· · · · · · · · · · · · · · · · · · ·		PATENT DOCUMEN		
Examiner Initials*	Cite No ¹	Number Document N	lumber Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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Initials*	No	Office ³	Number ⁴	(if known)	MM-DD-YYYY	Document	or Relevant Figures Appear	

		Examiner Signature	/John Lacyk/	Date Considered	10/29/2007
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Subst	Substitute for form 1449B/PTO			C	Complete if Known		
	**********	TION D		Application Number	10/656,722		
·	INFORMATION DISCLOSURE		Filing Date	September 5, 2003			
•	STATEMENT BY APPLICANT			First Named Inventor	Lilip Lau		
	(use as many sheets as necessary)			Group Art Unit	Unassigned		
	(use as n	nany sneets as	necessary)	Examiner Name	Unassigned		
Sheet	10	of	14	Attorney Docket Number	PARCR 66117		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, '	
Examiner Initials*	Cite No ¹ .	magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Substitute for form 1449B/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Group Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 11 of 14	Attorney Docket Number	PARCR 66117	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,						
Examiner Initials*	Cite No ¹ .	magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T					
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Substitute for form 1449B/PTO			Complete if Known				
Substitute !	for form	1449B/PTO	Application Number	10/656,722			
TAT	r/Dr	MATION DISCLOSURE	Filing Date	September 5, 2003			
			First Named Inventor	Lilip Lau			
ST	'ATE	MENT BY APPLICANT	Group Art Unit	Unassigned			
	/a		Examiner Name	Unassigned			
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Substitu	ate for form 1449B/PTO			Application Number	10/656,722	
т	NFORMATION	Into	CI OSLIDE	Filing Date	September 5, 2002	
				First Named Inventor	Lilip Lau	
2	STATEMENT B	Y A	PPLICANI	Group Art Unit	Unassigned	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	13		14	Attomey Docket Number	PARCR 66117	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.						
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	Complete if Known		
Substitute for form 1449B/PTO	Application Number 10/656,722		
INFORMATION DISCLOSURE	Filing Date	Sepetmeber 5, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT.	Group Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 14 of 14	Attorney Docket Number	PARCR 66117	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
		Application for U.S. Letters Patent Serial No. 10/777,451 filed February 12, 2004					
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G	C	omplete if Known
Substitute for form 1449A/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT DI APPLICANT	Art Unit	3736
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 1 of 14	Attorney Docket Number	PARCR 66117

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT 3736 Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) of 14 PARCR 66117 Sheet 2 Attorney Docket Number U.S. PATENT DOCUMENTS Document Number Name of Patentee or Pages, Columns, Lines, Where Examiner Cité Publication Date Applicant of Cited Number Kind Code Relevant Passages or Relevant No Initials* MM-DD-YYYY Document Figures Appear (if known) 4,628,937 12/16/1986 Hess et al. 4,630,597 12/23/1986 Kantrowitz et al. 4,665,906 05/19/1987 Jervis 09/01/1987 4,690,134 Snyders 4,697,703 10/06/1987 Will 4,750,619 06/14/1988 Cohen et al. 4,821,723 04/18/1989 Baker, Jr. et al. 4,827,932 05/09/1989 Ideker et al. 4,834,707 05/30/1989 Evans 4,838,288 06/13/1989 Wright et al. 4,840,626 06/20/1989-Linsky et al. 4,863,016 09/05/1989 Fong et al. 4,878,890 11/07/1989 Bilweis Kulik 4,936,857 06/26/1990 Lundbäck 4,957,477 09/18/1990 4,960,424 10/02/1990 Grooters

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	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	3736		
(use as many sheets as necessary)	Examiner Name	Unassigned		
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		•	U.S.	PATENT DOCUMEN	NTS	
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				First Named Inventor	Lilip Lau		
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		PCT	WO 00/06026		02/10/2000	Myocor, Inc.		
		PCT	WO 00/06027		02/10/2000	Mortier et al.		
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Substitute for form 1449A/PTO	Application Number 10/656,722			
INFORMATION DISCLOSURE	Filing Date	September 5, 2003		
	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	3736		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 5 of 14	Attorney Docket Number	PARCR 66117		

		Docume	ent Number	. PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
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			Complete if Known		
Substitu	te for form 1449A/PTO		Application Number ·	10/656,722	
T	NFORMATION I	DISCI OSURE	Filing Date	September 5, 2003	
			First Named Inventor	Lilip Lau	
3	STATEMENT BY	APPLICANT	Art Unit	3736	
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Sheet	6 0	of 14	Attomey Docket Number	PARCR 66117	

			. U.S.	PATENT DOCUMEN	TS	
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Signature	 Considered	

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Substitute for form 1449A/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date ·	September 5, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	3736 ,	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 7 of 14	Attorney Docket Number	PARCR 66117	

		Docume	nt Number	PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
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Examiner		Date	·
Signature	,	Considered	

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		,	C	Complete if Known		
Substitu	ute for form 1449A/PTO		Application Number	10/656,722		
_	NEODMATIO	N DISCLOSURE	Filing Date	September 5, 2003		
			First Named Inventor	Lilip Lau		
2	SIATEMENI	BY APPLICANT	Art Unit	3736		
	(use as many sh	eets as necessary)	Examiner Name	Unassigned		
Sheet	8	of 14	Attorney Docket Number	PARCR 66117		

		Docume	nt Number	. PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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			Complete if Known		
Substitu	ite for form 1449A/PTO		Application Number	10/656,722	
r	NFORMATION D	ISCI OSTIDE	Filing Date	September 5, 2003	
4			First Named Inventor	Lilip Lau	
3	STATEMENT BY	APPLICANT	Art Unit	3736	
	(use as many sheets as	s necessary)	Examiner Name	Unassigned	
Sheet	9 of	14	Attorney Docket Number	PARCR 66117	

			U.S	. PATENT DOCUMEN		
Examiner Initials*	Cite No ¹	Number	kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite		Foreign Patent Docu	ment	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines Where Relevant Passages	76
Initials*	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Document Document	or Relevant Figures Appear	_ '
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Signature	/John Lacyk/	Considered	10/29/07

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Substitu	ute for form 144	9B/PTO		C	omplete if Known
_	2000			Application Number	10/656,722
			SCLOSURE	Filing Date	September 5, 2003
8	STATEMENT BY APPLICANT		First Named Inventor	Lilip Lau	
	(b		Group Art Unit	3736
	(use as	many sheets as	necessary)	Examiner Name	Unassigned
Sheet	10	of	14	Attorney Docket Number	PARCR 66117

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	
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Substitute for form 1449B/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Group Art Unit	3736
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 11 of 14	Attorney Docket Number	PARCR 66117

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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				First Named Inventor Lilip Lau		
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		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001 published on February 14, 2003 as Pub. No. 02-0019580-A1; Inventors: Lau et al.	
		Application for U.S. Letters Patent Serial No. 10/314,696 filed December 9, 2002 published on April 3, 2003 as Pub.	
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		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003 published on March 10, 2005 as Pub. No. 05-0055032; Inventor: Lau	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004; Inventors: Fishler et al.	
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